



PTO/SB/21 (08-03)

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| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 10/682,303 |
| | Filing Date | October 9, 2003 |
| | First Named Inventor | Trillo |
| | Art Unit | Unknown |
| | Examiner Name | Unknown |
| Total Number of Pages in This Submission | Attorney Docket Number | ANA-5955 |

| ENCLOSURES (Check all that apply) | | |
|--|--|---|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance communication to Technology Center (TC) |
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| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | * Form PTO/SB/08A and 08B (four pages) with reference copies (4 patents and 28 publications) | |
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| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|--------------------------------|
| Firm or Individual name | Eric A. Meade, Reg. No. 42,876 |
| Signature | <i>E. A. Meade</i> |
| Date | January 7, 2004 |

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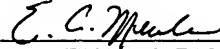
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ERIC A. MEADE
REGISTRATION NO. 42,876

Attorney Docket No. **ANA-5955**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Trillo et al.

Serial No.: 10/682,303

Filed: October 9, 2003

For: Method for Cardioprotection and Neuroprotection by Intravenous Administration of Halogenated Volatile Anesthetics

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.56**

Applicants herein make available to the Patent and Trademark Office copies of the references, listed on the included Form PTO-1449.

The Examiner is requested to consider carefully the complete text of these references in connection with the examination of this application.

It is requested that the listed references be included in the "References Cited" portion of any patent issuing from this application.

(1) This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the patent application.
- ☐ within three months of the date of entry into the national stage as set forth in 37 CFR 1.491 of the international application.
- ☒ **before** the mailing date of a first Office Action on the merits.
- ☐ **after** the mailing date of the first Office Action on the merits, but **before** the mailing date of
 - a Final Action under 37 CFR 1.113,
 - a Notice of Allowance under 37 CFR 1.311, or

an action that otherwise closes prosecution,
and is accompanied by either:

- ☐ the Statement under 37 CFR 1.97(e) (see "Statement" below), **or**
- ☐ the fee under 37 CFR 1.17(p).

- ☐ **after** the mailing date of
 - a Final Action under 37 CFR 1.113,
 - a Notice of Allowance under 37 CFR 1.311, or
 - an action that otherwise closes prosecution,,

and is accompanied by both:

the Statement under 37 CFR 1.97(e) (see "Statement" below), **and**
the fee under 37 CFR 1.17(p).

(2) **Statement**

- ☐ The **undersigned attorney** hereby states that each item information contained in the Supplemental Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Supplemental Information Disclosure Statement. *(If this box is checked, do not check any further Statement boxes.)*

or

- ☐ The **person signing immediately below** hereby states that no item of information contained in the Supplemental Information Disclosure was cited in any communication from any foreign patent office in any counterpart foreign patent application or, to the knowledge of the person signing after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Supplemental Information Disclosure Statement.

The person making the statement is:

- ☐ the inventor(s) who sign(s) below:

Signature of the Inventor(s)

Typed or Printed Name of the Inventor(s)

or

- ☐ a person who is substantively involved in the preparation or prosecution of the application, and who is associated with the inventor(s), or with the assignee, or with anyone to whom there is an

obligation to assign the application (37 CFR 1.565(c)) and who signs below:

Signature of the Person(s)

Typed or Printed Name of the Person

Address of Person Signing

or

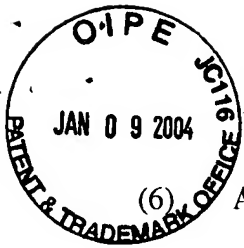
- ☐ the undersigned attorney who signs on the basis of the information:
- ☐ supplied by the inventor(s).
 - ☐ supplied by an individual designated in 37 CFR 1.56(c).
 - ☐ in the attorney's file.

Signature of the Attorney (4) Fees

- ☒ **No Fee** is owed by the applicants.
- ☐ **The IDS Fee** of \$180.00 under 37 CFR 1.17(p) is enclosed herewith.

(5) Method of Payment of Fees

- ☐ Attached is a check in the amount of \$_____.
- ☐ Applicants hereby authorize the Commissioner to charge the \$180.00 IDS Fee to Deposit Account No. 04-0480.



Application Serial No. 10/682,303
Docket No. ANA-5955

(6) Authorization to Charge Additional Fees

- ☒ Applicants hereby authorize the Commissioner to charge any additional fees deemed required for consideration of this Paper to Deposit Account No. 04-0480.

A prompt and favorable response is earnestly solicited.

Respectfully submitted,

Eric A. Meade
Registration No. 42,876

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JAN 09 2004

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| Application Number | 10/682,303 |
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| Filing Date | October 9, 2003 |
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| First Named Inventor | Trillo, Raul |
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| Art Unit | unknown |
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| Examiner Name | unknown |
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| Attorney Docket Number | ANA-5955 |
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U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

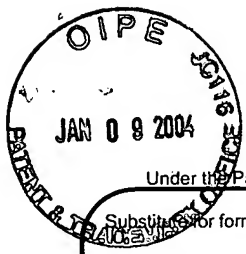
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Complete if Known**Application Number** 10/682,303**Filing Date** October 9, 2000**First Named Inventor** Trillo, Raul**Art Unit** unknown**Examiner Name** unknown**Attorney Docket Number** ANA-5955

Sheet

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of

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NON PATENT LITERATURE DOCUMENTS

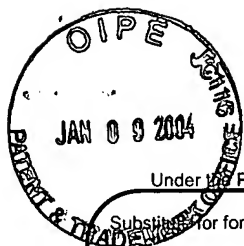
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|-----------------------|--------------------------|---|----------------|
| | | WERNER ET AL; Sevoflurane Improves Neurological Outcome After Incomplete Cerebral Ischaemia in Rats; Br J Anaesth.; Dec 1995; pp756-760; 75(6). | |
| | | BEIRNE ET AL; Effect of Halothane in Cortical Cell Cultures Exposed to N-methyl-D-aspartate; Neurochem Res. Jan 1998; pp 17-23; 23(1). | |
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| | | SOONTHON-BRANT ET AL; Fentanyl Does Not Increase Brain Injury after Focal Ischemia in Rats; Anesth Analg.; January 1999; pp 49-55; 88(1). | |
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Signature****Date****Considered**

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Application Number 10/682,303

Filing Date October 9, 2000

First Named Inventor Trillo, Raul

Art Unit unknown

Examiner Name unknown

Attorney Docket Number ANA-5955

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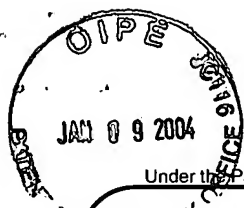
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|-----------------------|--------------------------|---|----------------|
| | | BELHOMME ET AL; Evidence for Preconditioning by Isoflurane in Coronary Artery Bypass Graft Surgery; Circulation Nov 9, 1999; pp II-340-344. | |
| | | WENKER OLIVER C; Review of Currently Used Inhalation Anesthetics : Part I; The Internet Journal of Anesthesiology 1999; vol 3(2). | |
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| | | KIMBRO ET AL; Isoflurane and Pentobarbital Reduce AMPA Toxicity In Vivo in the Rat Cerebral Cortex; Anesthesiology Mar 2000; pp 806-12; 92(3). | |
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| | | HAROUN-BIZRI ET AL; Does Isoflurane Optimize Myocardial Protection During Cardiopulmonary Bypass? J Cardiothorac Vasc Anesth. Aug 2001; pp 418-21; 15(4). | |

Examiner
SignatureDate
Considered

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First Named Inventor Trillo, Raul

Art Unit unknown

Examiner Name unknown

Attorney Docket Number ANA-5955

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| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
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| | | HANOUEZ ET AL; Mechanisms of Desflurane-Induced Preconditioning in Isolated Human Right Atria in Vitro; Anesthesiology Jul 2002; pp 33-41; 97(1). | |
| | | DE HERT ET AL; Sevoflurane but Not Propofol Preserves Myocardial Function in Coronary Surgery Patients; Anesthesiology Jul 2002; pp 42-49; 97(1). | |
| | | WARLTIER ET AL; Anesthetic Preconditioning; Serendipity and Science; Anesthesiology Jul 2002; pp 1-3; 97(1). | |
| | | CUIGNET ET AL; A Second-Generation Blood Substitute (Perflubron Emulsion) Increases the Blood Solubility of Modern Volatile ...; Anesth Analg Aug 2002; pp 368-372. | |
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